

#5

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gary L. GRIFFITHS et al.

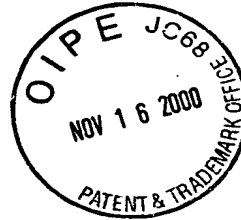
Title: TARGETED COMBINATION-IMMUNOTHERAPY OF CANCER

Appl. No.: 09/597,580

Filing Date: June 20, 2000

Examiner: Unassigned

Art Unit: 1614



**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**CONCISE EXPLANATION OF**  
**RELEVANCE OF EACH DOCUMENT**

The relevance of the documents is described in the present specification.

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

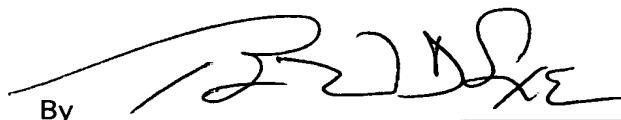
Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Date November 16, 2000

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109  
Telephone: (202) 672-5427  
Facsimile: (202) 672-5399

By

A handwritten signature in black ink, appearing to read 'B. D. Saxe', written over a horizontal line.

Bernhard D. Saxe  
Attorney for Applicant  
Registration No. 28,665